

Appln. No.: 09/819,063
IDS (assoc. with Notice of Related
Australian Litigation), dated July 20, 2004



PATENT
Customer No. 22,852
Attorney Docket No. 7451.0007-02
InterTrust Ref. No.: IT-11.2 (US)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2004. Express Mail Label No.: EV 527339462 US

Signed: _____

Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: _____)

HALL et al. _____)

Group Art Unit: 2171

Application No.: 09/819,063 _____)

Examiner: AMSBURY, Wayne P.

Filed: September 28, 2000 _____)

For: TECHNIQUES FOR DEFINING, _____)
USING AND MANIPULATING _____)
RIGHTS MANAGEMENT DATA _____)
STRUCTURES _____)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

This Information Disclosure Statement and is associated with the Notice of Related Australian Litigation filed herewith, and lists documents contained within one or more of the Exhibits (pleadings) set forth in that paper. Copies of the listed documents are attached.

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Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited document(s) do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

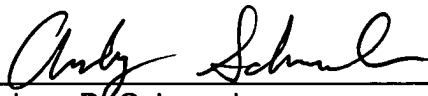
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

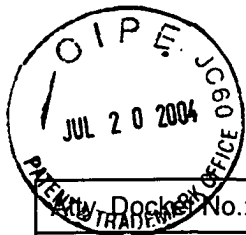
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: July 20, 2004

By: 
Andrew B. Schwaab
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INFORMATION DISCLOSURE CITATION

App. No.:	07451.0007-02000	Appln. No.:	09/819,063
Applicant:	HALL et al.		
Filing Date:	September 28, 2000	Group:	2171

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Goldfarb, C., Document from the Internet: "A Brief History of the Development of SGML," 1993, 7 pages.
	Kim, W., et al., <u>Object-Oriented Concepts, Databases, and Applications</u> , ACM Press, New York, New York, 1989, 63 pages.
	Lagoze, C. et al., "The Warwick Framework: A Container Architecture for Aggregating Sets of Metadata," Cornell University Computer Science Technical Report TR96-1593, June 28, 1996, 26 pages.
	Laplante, M., "Information Interoperability," May '96 Inform, pages 16-18.
	Lynch, C., "When Technology Leads Policy", ARL Proceedings of the 131 st Annual Meeting (Preservation of Digital Information), October 15-17, 1997, 6 pages.
	McKenzie, M., "Copyright Protection: Understanding Your Options," The Seybold Report on Internet Publishing, Vol. 1, No. 4, Dec. 1996, pages 6-14.
	Ward, N., "Networked Information Management," slideshow, DSTC, Canberra, Australia, July 1997, 56 pages.
	Weibel S., et. al., "An Element Set to Support Resource Discovery," The State of the Dublin Core, Jan. 1997, available at http://www.cs.cornell.edu/lagoze/papers/jodl.html , 15 pages.
	<u>DSTC's 1995/96 Annual Report</u> , CRC for Distributed Systems Technology, 73 pages.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce